

Risk Screen					
File Reports					
Customer Name	<u>Customer #1</u>				
Risk Factor Name					
Governing Law	An acceptable governing law for the contract must be stated. GfCS standard is NY State, USA. For contracts regarding a facility outside the US, laws of England are acceptable.				
Dispute Resolution					
Excusable Delay					
Risk Factor Selection					
Contracted Performance					
<table border="1"> <tr> <td>Selected Premise</td> <td>Governing Law Other than US State or England</td> </tr> <tr> <td colspan="2"> <input checked="" type="checkbox"/> Performance Guarantee <input type="checkbox"/> Other Performance Guarantee <input type="checkbox"/> Performance Guarantee >3% Down <input type="checkbox"/> LD only Guarantee <input type="checkbox"/> LD Cap >20 % for Frame Units or >10% for LM <input type="checkbox"/> LD not Sole Remedy <input type="checkbox"/> Other Performance Deviation </td> </tr> </table>		Selected Premise	Governing Law Other than US State or England	<input checked="" type="checkbox"/> Performance Guarantee <input type="checkbox"/> Other Performance Guarantee <input type="checkbox"/> Performance Guarantee >3% Down <input type="checkbox"/> LD only Guarantee <input type="checkbox"/> LD Cap >20 % for Frame Units or >10% for LM <input type="checkbox"/> LD not Sole Remedy <input type="checkbox"/> Other Performance Deviation	
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New Risk Factor Description					
<p>Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified time(s). No continuous guarantees are to be offered. Guarantee levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any potential downside must be structured as liquidated damages (LDs). At least equal upside opportunity must be included. LDs must be the sole and exclusive remedy/liability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All guarantees must be generated and approved by a Risk Analyst before submission to the customer. Document the analyst's name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability</p>					
Variation					
Contract	124				
Customer Name	126				
CMS Opportunity Id	128				
ITG Number					
Commercial Director	130				
Contract Manager	132				
Original Date	134				
Contract Close Date	136				
Person Details					
 GE Privileged and Confidential Information. For Internal GE Use Only  Microsoft Word 25MB 					

Fig. 1

Fig. 2

Risk Screen		Contract #1		Contract Name: Denver											
<input type="button" value="File Reports"/>	<input type="button" value="New"/>	<input type="button" value="Edit"/>	<input type="button" value="Delete"/>	<input type="button" value="Print"/>	<input type="button" value="Exit"/>										
Risk Factor Name Governing Law Dispute Resolution Excusable Delay	110	Risk Factor Description An acceptable governing law for the contract must be stated. GE/CS standard is NY State, USA. For contacts regarding a facility outside the US.	114		104 300										
<table border="1"> <tr> <td>Risk Factor Selection Contracted Performance</td> <td>New Risk Factor Description Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified time(s). No continuous guarantees are to be offered. Guaranteed levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any upside opportunity must be included. LDs must be the sole and exclusive remedy/liability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All Guarantees must be generated and approved by a Risk Analyst before submission to the customer. Document the analysts name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability</td> </tr> <tr> <td>Available Premise Performance Guarantee</td> <td>120</td> </tr> <tr> <td>Other Performance Guarantee Performance Guarantee >3% Down</td> <td>118</td> </tr> <tr> <td>LD only Guarantee LD Cap >20 % for Frame Units or >10% for LM</td> <td>118</td> </tr> <tr> <td>LD not Sole Remedy Other Performance Deviation</td> <td>118</td> </tr> </table>						Risk Factor Selection Contracted Performance	New Risk Factor Description Reasonable performance guarantees respecting availability, reliability, heat rate and/or output may be included. Acceptable confidence levels must apply. All guarantees must be determined by acceptable, specified measurement at specified time(s). No continuous guarantees are to be offered. Guaranteed levels must be in accordance with modeling and technical reviews. Incentive only preferred. Any upside opportunity must be included. LDs must be the sole and exclusive remedy/liability in the event of a shortfall. LDs must be subject to individual and aggregate caps for each year. All Guarantees must be generated and approved by a Risk Analyst before submission to the customer. Document the analysts name in the variation section below. LM Notes: Added 18 April 01 - Maximum Availability	Available Premise Performance Guarantee	120	Other Performance Guarantee Performance Guarantee >3% Down	118	LD only Guarantee LD Cap >20 % for Frame Units or >10% for LM	118	LD not Sole Remedy Other Performance Deviation	118
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Other Performance Guarantee Performance Guarantee >3% Down	118														
LD only Guarantee LD Cap >20 % for Frame Units or >10% for LM	118														
LD not Sole Remedy Other Performance Deviation	118														
Selected Premise Governing Law Other than US State or England	112	Contract	122	Person Details	302										
Premises GE standard is NY State, USA. For contacts regarding a facility outside the US.	112	Terms & Conditions	122	Validation	302										
Required Approvals None	304	Specific Variation	304	Approval Status	306										
Approver List	314	Save	316	<input type="checkbox"/> Deal Complete	318										
		Delete		<input type="radio"/> Not Approved											
				<input type="radio"/> Partially Approved											
				<input type="radio"/> Fully Approved											

Fig. 3

2003-04-16 2:33 PM

Handwritten annotations with numbers:

- 102: Above the "Customer Name" field.
- 104: Above the "Contract Name" field.
- 106: Above the "Risk Factor Selection" field.
- 400: Above the toolbar icons.
- 108: Above the "Main Menu" button.
- 110: To the left of the "Risk Factor Name" dropdown.
- 112: To the left of the "Selected Premise" dropdown.
- 114: To the right of the "Risk Factor Selection" field.
- 116: To the right of the "Risk Factor Selection" field.
- 122: To the right of the "Person Details" section.
- 402: Next to the "Last Name" field.
- 404: Next to the "Position" field.
- 406: Next to the "Phone Number" field.
- 408: Next to the "E-Mail Address" field.
- 410: Below the "Update" button.
- 412: Below the "Main Menu" button.

Risk Screen

Customer Name Contract Name

Risk Factor Name Risk Factor Description

Risk Factor Selection 3116

Selected Premise

Contract	Terms & Conditions	Variation	Person Details
First Name: John	Last Name: Doe	M.I: 3	402
Position: Risk Management, Black Dot			404
Phone Number: (770) 555-5555	406		
E-Mail Address: john.doe@aol.com		408	

Update Main Menu

GE Privileged and Confidential Information - For Internal GE Use Only

Fig. 4

Risk Report

502 {

Contract Name: Vancouver
 Contract Number: 7-YM2G4
 Contract Manager: Jane Doe

504 {

Risk Factor: Governing Law

<u>Premise Name</u>	<u>Status</u>	<u>Change Date</u>	<u>User ID</u>
Governing Law Other Than U.S.	Not Approved	7/28/01 4:50pm	jane.doe@abl.com

505 {

→ Variation: Province of British Columbia

{

Approvals Received: Jane Smith

Approvals Still Required: Alice Doe
Becky Doe

Risk Factor: Dispute Resolution

-
-
-

Fig. 5

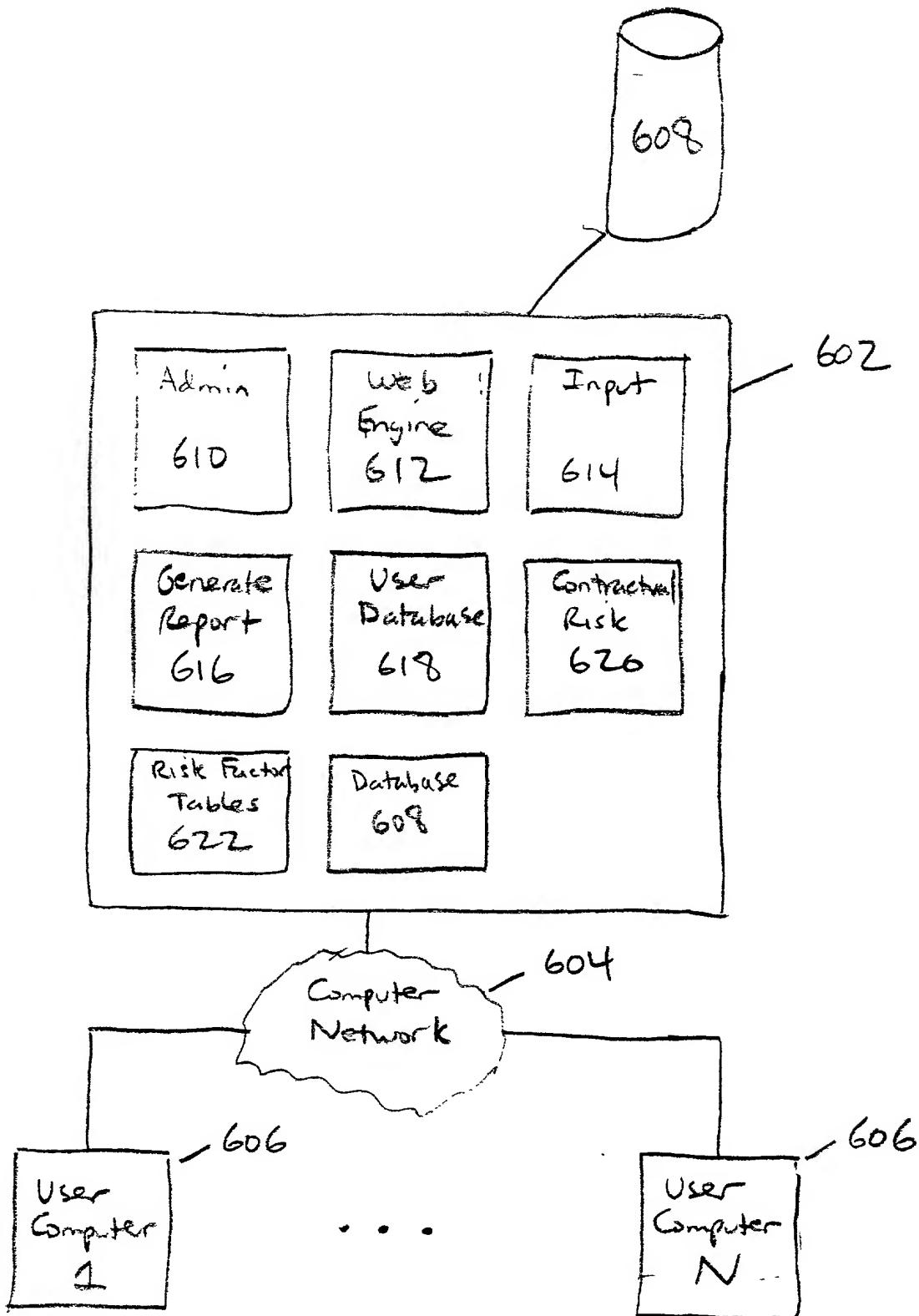


Fig. 6

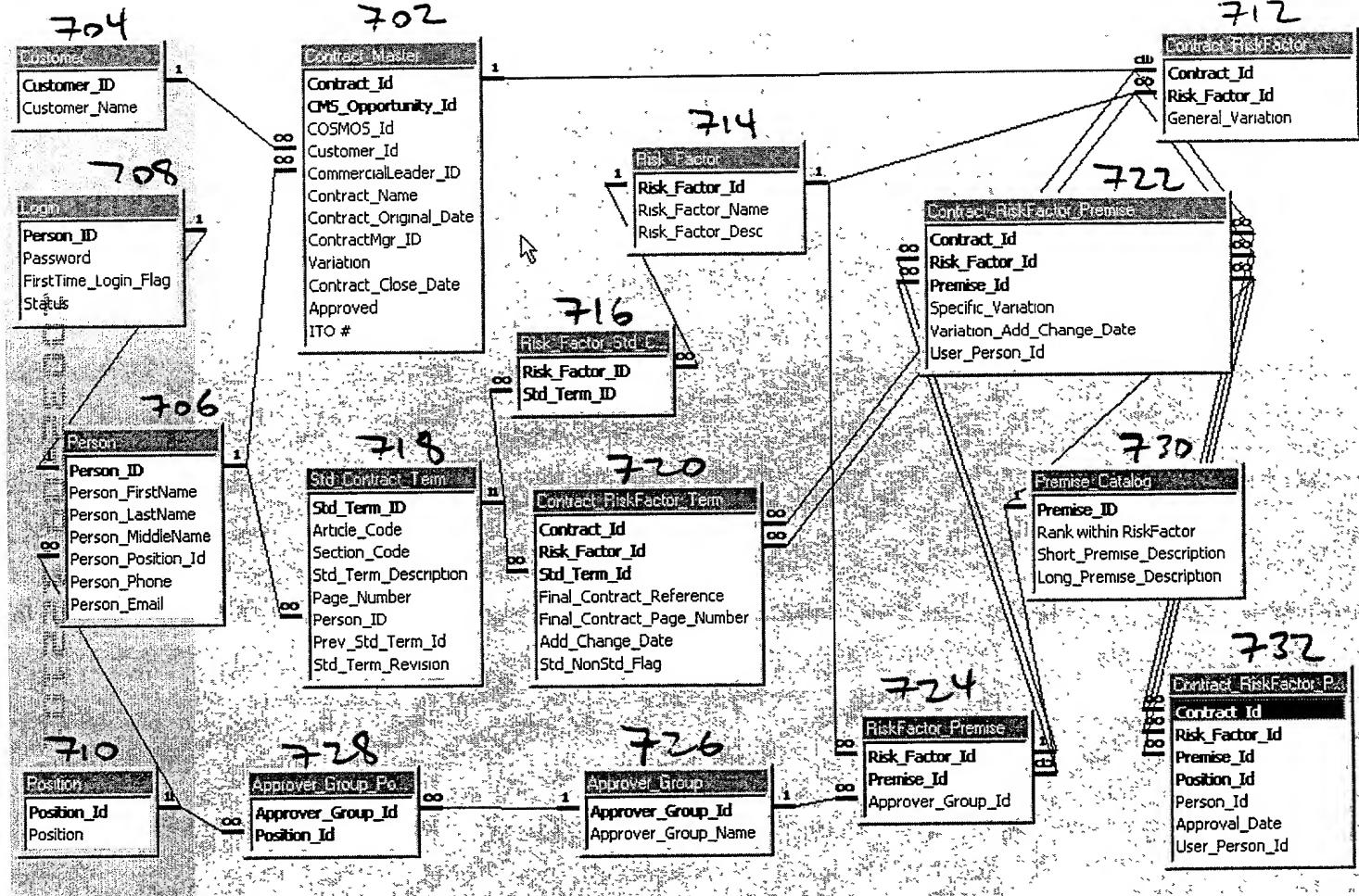


fig. 7

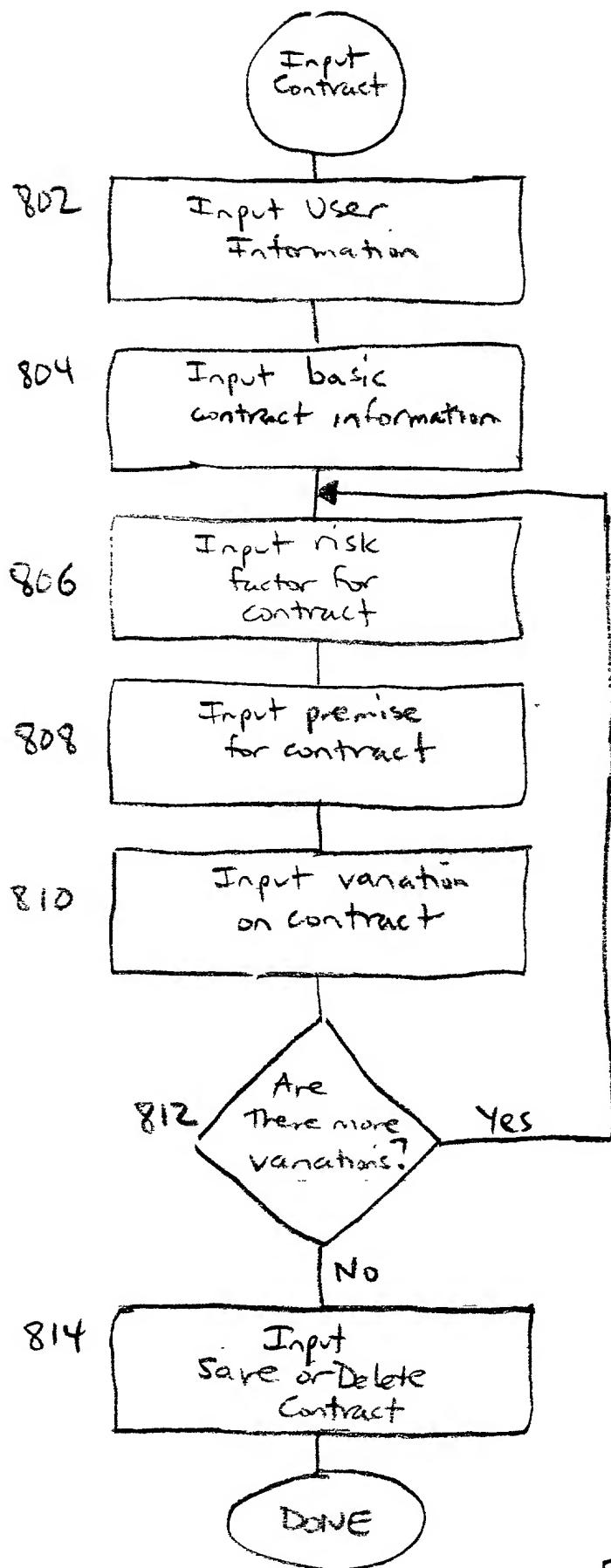


Fig. 8

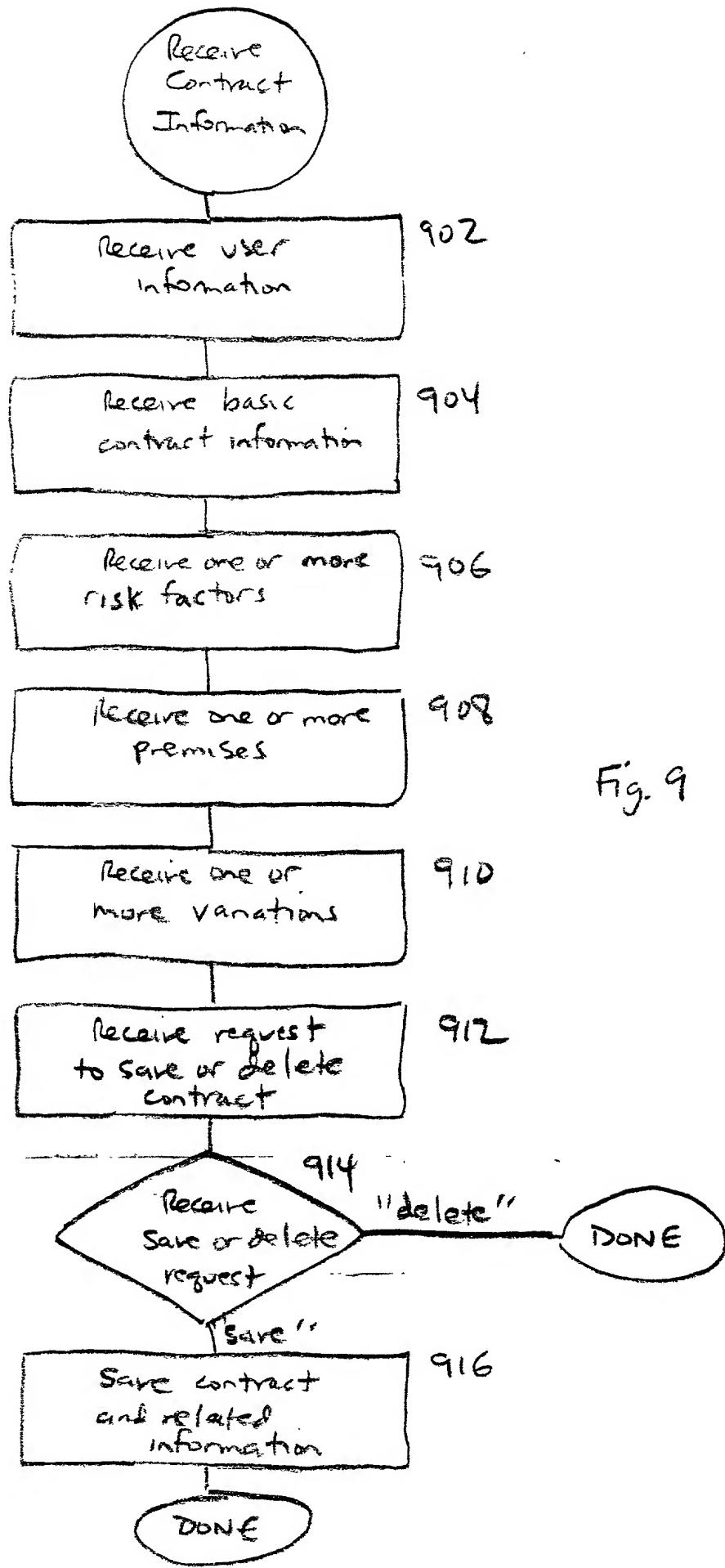


Fig. 9

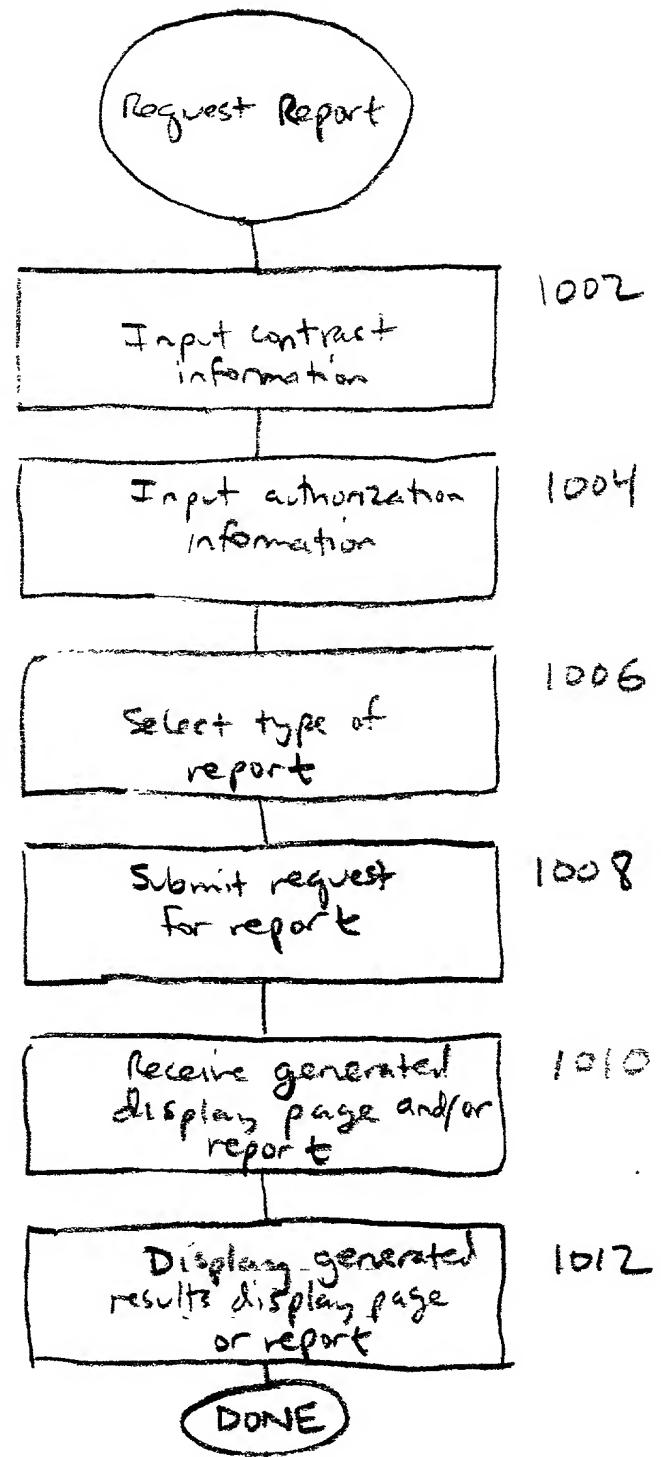


Fig. 1D

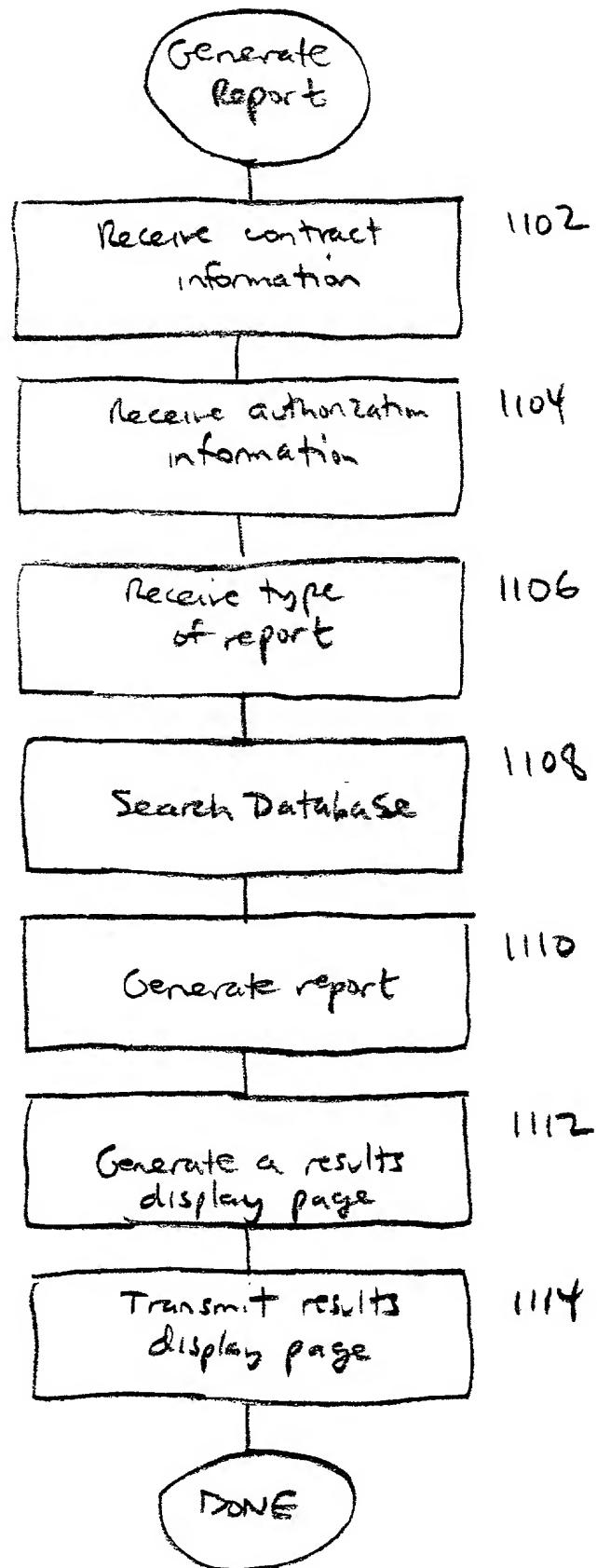


Fig. 11

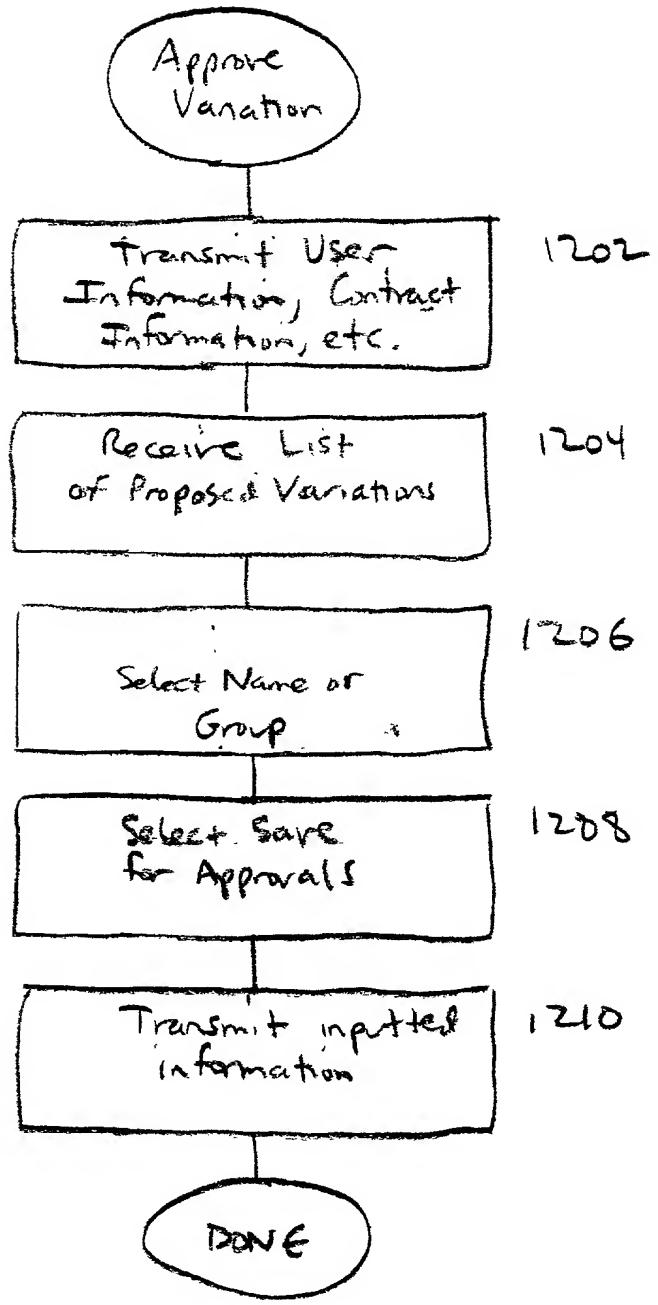


Fig. 12

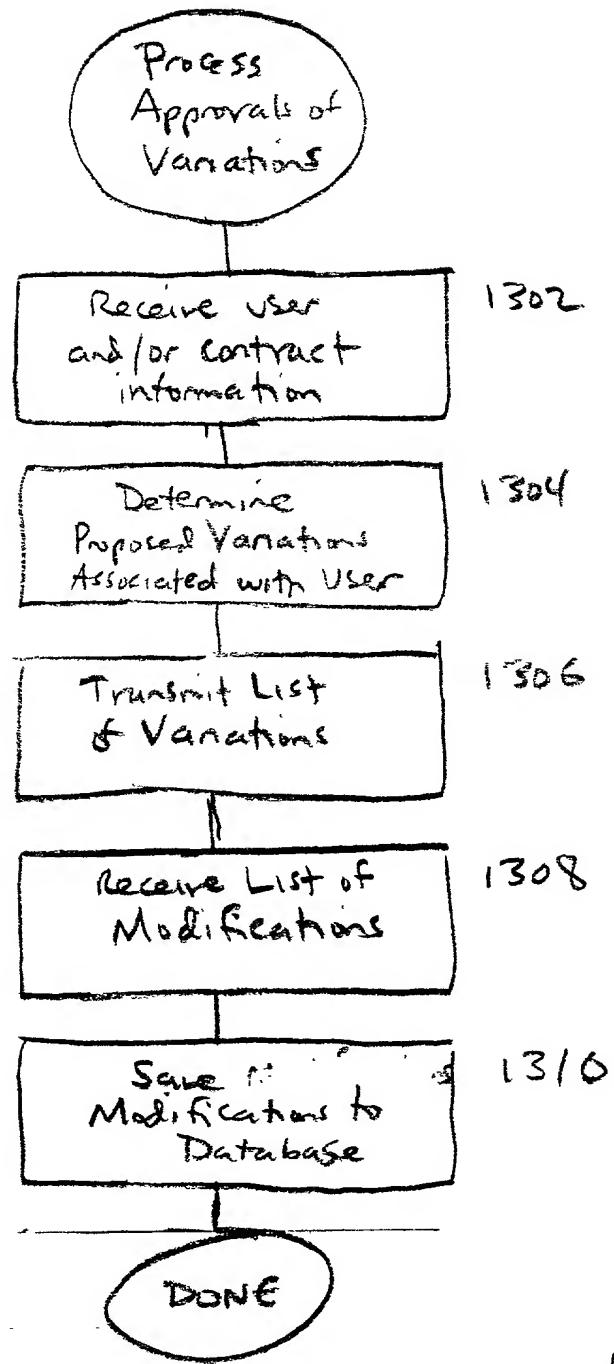


Fig. 13